

COPY OF PAPERS ORIGINALLY FILED

PATENT

Case Docket No. GENENT.072A2
Date: July 23, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Gerritsen et al.

Appl. No.

09/940,101

Filed

August 27, 2001

For

ErbB4 ANTAGONISTS

Examiner

Unknown

Group Art Unit:

1641

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

> July 23, 2002 (Date)

}

Ginget H. Dreget, Beg. No. 33,05

TRANSMITTAL LETTER

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with 4 references.
- (K) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Ginger R. Dreger

Registration No. 33,055

Attorney of Record

COPY OF PAPERS ORIGINALLY FILED

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Gerritsen et al.)	Group Art Unit 1641			
App. No.	:	09/940,101)		1	g Inr	.)
Filed	:	August 27, 2001)		ER 16	0 2	
For	:	ErbB4 ANTAGONISTS)		1600/2900	2002	ŒD
Examiner	:	Unknown))		<u></u>		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing 4 references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: July 23, 2002

By: _

Ginger R. Dreger

Registration No. 33,055

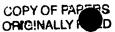
Attorney of Record

620 Newport Center Drive

Sixteenth Floor

Newport Beach, CA 92660

W:\DOCS\GRD\GRD-8469.DOC072302



•				ORIGINALI	LY POD		s	HEET 1
FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE PE IN ORMATION DISCLOSURE STATEMENT			CE ATTY, DOCKET NO. GENENT.072A2	APPLIC. 09/940	J TECH C			
BY APPLICANT (USE EVERAL SHEETS IF NECESSARY)				APPLICANT GERRITSEN et al.		GROUP 1641		ے دد
				FILING DATE August 27, 2001				ENTER 1600/290
Z& TRADE	MI)/290(02
				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING (IF APPRO	DATE OPRIAT
				FOREIGN PATENT DOCUMENTS				
XAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
	1.	WO 96 15128	5.23.96 F	РСТ				
	2.	WO 00 31048 A	6.02.00 F	РСТ				
		-			l			
XAMINER INITIAL		ОТ	HER DOCUMEN	ITS (INCLUDING AUTHOR, TITLE, DATE, PEI	RTINENT PAGES,	ETC.)		
		Bianco et al., "Cripto-1 indirectly stimulates the tyrosine phosphorylation of erb B-4 through a novel receptor" The Journal of Biological Chemistry 374(13):8624-8629, March 26, 1999						
	4. Chen et al., "An immunological approach reveals biological differences between the two NDF/heregulin receptors, ErbB-3 and ErbB-4", The Journal of Biological Chemistry 271(13):7620-7629, March 26, 1996							
						1		
	$\left - \right $.

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS	S IN CONFORMANCE WITH MPEP 609: DRAW LINE THROUGH CITATION IF NOT

IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.